Phone: 852-2218 Fax: 852-1553

# Norwalk Hospital



•Comr			·			
□ Urg	ent	☐ For Review	□ Please Con	nment	☐ Please Reply	☐ Please Recycle
Re:				CCI		***************************************
Phone				Pages:	11	·
Fax:	860	-418-7053		Date:	November 10, 2004	1
To:		ce of Health Care Ac	-	From:	Geoffrey Cole	



Geoffrey Cole President and CEO

# Norwalk Hospital

Norwalk, Connecticut 06856

Via Fascimile and Letter

Novmeber 8, 2004

Commissioner Cristine Vogel
Office of Health Care Access
410 Capitol Avenue, MS 13HCA
Post Office Box 340308
Hartford, Connecticut 06134

RE: Letter of Intent

Renovation and Expansion Of Sleep Center Program

Dear Commissioner Vogel:

Attached please find the Letter of Intent/Waiver Form 2030 for Renovation and Expansion Of Sleep Center Program

Please forward any written correspondence to Susan Santoro, Director, Program and Business Development, 34 Maple Street, Norwalk, CT 06850, email at <a href="mailto:susan.santoro@norwalkhealth.org">susan.santoro@norwalkhealth.org</a> or by phone at 203-852-2025.

Thank you for your consideration.

Sincerely,

Gooffrey F Col

President and Chief Executive Officer

cc;

Paul Nurick Susan Santoro Frank Murphy, Esq.



# State of Connecticut Office of Health Care Access Letter of Intent/Waiver Form Form 2030

All Applicants must complete a Letter of Intent (LOI) form prior to submitting a Certificate of Need application, pursuant to Sections 19a-638 and 19a-639 of the Connecticut General Statutes and Section 19a-643-79 of OHCA's Regulations. Please submit this form to the Commissioner of the Office of Health Care Access, 410 Capitol Avenue, MS# 13HCA, P.O. Box 340308, Hartford, Connecticut 06134-0308.

### SECTION I. APPLICANT INFORMATION

If there are more than two Applicants, please attach a separate sheet of paper and provide additional information in the format below.

	Applicant One	Applicant Two
Full legal name	Norwalk Hospital	
Doing Business As	,	
Name of Patent Corporation	Norwalk Health Services	
Mailing Address, if Post Office Box, include a street mailing address for Certified Mail	Maple Street Norwalk, Connecticut 06856	
Applicant type (e.g., profit/non-profit)	Not-for-Profit	
Contact person, including title or position	Susan Santoro Director, Program and Business Development	
Contact person's street mailing address	Maple Street Norwalk, Connecticut 06856	
Contact person's phone #, fax # and e-mail address	203.852.2025 (Telephone) 203.899.5063 (Fax) susan.santoro@norwalkhealth.org	

# SECTION II. GENERAL APPLICATION INFORMATION

a.	Propo	sal/Pro	ect Title:					
	Reno	vation A	ınd Expansion (	Of Sleep (	Center Pi	ogram		
ъ.	Туре	of Propo	osal, please checl	k all that	apply:			
	Chang	ge in Fac	ility (F), Service	(S) or Fu	inction (I	nc) pursuant to S	Section 19a-638.	, C.G.S.:
	□ N	ew (F, S	, Fnc)	□ R	Leplacem	ent	Addition	al (F, S, Fnc)
	E:	xpansio	n (F, S, Fnc)	☐ R	lelo <b>c</b> ation	1	Service T	ermination
	□Ве	ed Addi	ion`	B	Bed Redu	ction	Change i	n Ownership/Control
	Capita	Projec	diture/Cost, pur et expenditure/coment Acquisirio New Imaging	ost cost į	greater the	an \$ 1,000,000		Major Medical
	Chang \$1,000	e in own ,000	nership or contro	ol, pursua	int to Sec	ction 19a-639 C.G	S., resulting in	a capital expenditure over
c.	Locati	on of pr	oposal (Town in	ıcluding s	street add	iress):		
	34 Maj	ple Stree	et, Norwalk, Cor	mecticut	06856			

Norwalk Hospital

Letter of Intent: Renovation and Expansion Of Sleep Center Program

d. List all the municipalities this project is intended to serve:

Norwalk Hospital Primary Service Area includes City of Norwalk, New Canaan, Westport, Wilton and Weston as well as surrounding towns.

- c. Estimated starting date for the project: January 2005
- f. Type of project 31 (Fill in the appropriate number(s) from page 7 of this form)

Number of Beds (to be completed if changes are proposed)

	Гурс	Existing Staffed	Existing Licensed	Proposed Increase (Decrease)	Proposed Total Licensed

# SECTION III. ESTIMATED CAPITAL EXPENDITURE INFORMATION

- a. Estimated Total Capital Expenditure: \$2,000,000
- b. Please provide the following breakdown as appropriate:

Construction/Renovations	\$ 1,696,600
Medical Equipment (Purchase)	\$ 215,000
Imaging Equipment (Purchase)	-
Non-Medical Equipment (Purchase)	\$ 88,400
Sales Tax	_
Delivery & Installation	
Total Capital Expenditure	\$ 2,000,000
Fair Market Value of Leased Equipment	
Total Capital Cost	\$ 2,000,000

	rwalk Hospital ter of Intent: Renovation and	Expansion Of S	Sleep Center Proj	gram '	
Ma	ajor Medical and/or Ima	ging equipm	ent acquisitio	n:	
E	quipment Type	Name	Model	Number of Units	Cost per unit
					- ALDER AN
	te: Provide a copy of the o				ipment.
c. 	Type of financing or	tunding sourc	e (more man o	ne can be checked):	
$\boxtimes$	Applicant's Equity		Lease P	inancing Convent	ional Loan
	Charitable Contributi Funded Depreciation		CHEFA		Grant Funding
SE	CTION IV. PROJECT	DESCRIPTI	ON		
pro	ase attach a separate 8.5" X Ject, highlighting all the im dicable):	I 11" sheet(s) portant aspec	of paper and p ts of the propo	rovide no more than a 2 pa sed project. Please be sure	age description of the proposed to address the following (if
1.	Currently what types of s Health license held by the	ervices are be Petitioner.	ing provided?	If applicable, provide a cop	by of each Department of Public
2.	What types of services ar	e being propo	sed and what I	OPH licensure categories w	rill be sought, if applicable?
3.	Who is the current popul	ation served a	nd who is the	target population to be serv	ved?
4.	Identify any unmet need	and how this j	project will full	fill that need.	
5,	Are there any similar exis	ting service pr	coviders in the	proposed geographic area?	

6. What is the effect of this project on the health care delivery system in the State of Connecticut?

Who will be responsible for providing the service?

Who are the payers of this service?

7.

### SECTION IV. PROJECT DESCRIPTION (CONTINUED)

Norwalk Hospital seeks regulatory approval to renovate and expand the Sleep Center Program. The Sleep Center is located at Norwalk Hospital with street location at 34 Maple Street, Norwalk, Connecticut 06856.

Norwalk Hospital has become an acknowledged center of excellence within the state of Connecticut achieving formal accreditation by the American Academy of Sleep Medicine. The Norwalk Hospital Sleep Program serves as training site for postgraduate pulmonary fellows from Norwalk Hospital as well as Yale University and the Westchester Medical Center. The year 2004 has been a period of accomplishment for the Sleep Program with the establishment of the only accredited fellowship training program in the State of Connecticut. The Sleep Center is a site for a number of innovative research projects bringing new medications and procedures to market as well as basic sleep science research that have been presented at the American Thoracic Society, the American Academy of Sleep Medicine and Sleep Research Society International meetings.

The Sleep Center has matured and is experiencing another cycle of rapid growth and has approached the limits of existing space. The current wait time to access service is six to ten weeks. Operational capacity has been achieved for the existing space performing 900 studies per year for a 3-bed Sleep Center or 86 percent efficiency. This level of workload has remained at this level for the past 2 years.

Nationally, healthcare providers have shown interest in sleep medicine with membership in the American Academy of Sleep Medicine doubling within the past ten year and the number of accredited sleep facilities growing by more than 30 percent from 20001 (500 centers) to 2003 (over 670 centers). The Fairfield County and the State of Connecticut marketplace has responded to these national trends with 15 centers accredited by the American Academy of Sleep Medicine.

Norwalk Hospital

Letter of Intent: Renovation and Expansion Of Sleep Center Program

This project seeks to renovate the existing space where the service is provided as well as increase the number of sleep beds in order to decrease patient appointment wait time and increase access to services.

Norwalk Hospital population served would include Primary Service Area towns of City of Norwalk, Westport, Wilton, Weston and New Canaan as well as surrounding communities. The payor mix of the service would be consistent with current hospital and/or departmental profile.

Norwalk Hosp Letter of Inter	oital n: Renovation and Expansion Of Sleep Center Program
1	
If requesti	ng a Waiver of a Certificate of Need, please complete Section V.
SECTION	V. WAIVER OF CON FOR REPLACEMENT EQUIPMENT
I may be eli apply)	gible for a waiver from the Certificate of Need process because of the following: (Please check all that
☐ This	s request is for Replacement Equipment.
	The original equipment was authorized by the Commission/OHCA in Docket Number:
	The cost of the equipment is not to exceed \$2,000,000.
	The cost of the replacement equipment does not exceed the original cost increased by 10% per year.

NORWALK HOSPITAL

Please complete the attached affidavit for Section V only.

AFFIDAVIT (1	NOT APPLICABLE)	
Applicant:	Management - Artistanting	_
Project Title:		
I,(Name)	· · · · · · · · · · · · · · · · · · ·	CEO or CFO)
	being duly swor	ver Form (2030) is true and accurate to
the best of my knowledge,	and that(Facility N:	complies with the appropriate and ame)
applicable criteria as set for	rth in the Sections 19a-630, 19	9a-637, 19a-638, 19a-639, 19a-486
and/or 4-181 of the Conne	ecticut General Statutes.	
Signature		Date
Subscribed and sworn to b	efore me on	
Notary Public/Commissio	ner of Superior Court	
My commission expires:		

### Project Type Listing

Please indicate the number or numbers of types of projects that apply to your request on the line provided on the Letter of Intent Form (Section II, page 2).

### Inpatient

- 1. Cardiac Services
- 2. Hospice
- 3. Maternity
- 4. Mcd/Surg.
- 5. Pediatrics
- 6. Rehabilitation Services
- 7. Transplantation Programs
- 8. Trauma Centers
- 9. Behavioral Health (Psychiatric and Substance Abuse Services)
- 10. Other Inpatient

#### Outpatient

- 11. Ambulatory Surgery Center
- 12. Birthing Centers
- 13. Oncology Services
- 14. Outpatient Rehabilitation Services
- 15. Paramedics Services
- 16. Primary Care Clinics
- 17. Urgent Care Units
- 18. Behavioral Health (Psychiatric and Substance Amuse Services)
- 19. MRI
- 20. CT Scanner
- 21. PET Scanner
- 22. Other Imaging Services
- 23. Lithotripsy
- 24. Mobile Services
- 25. Other Outpatient
- 26. Central Services Facility

### Non-Clinical

- 27. Facility Development
- 28. Non-Medical Equipment
- Land and Building Acquisitions
- 30. Organizational Structure (Mergers, Acquisitions, Affiliations, and Changes in Ownership)
- 31. Renovations
- 32. Other Non-Clinical



Geoffrey Cole President and CEO 2004 NOV 12 PK 12: 02

# Norwalk Hospital

Norwalk, Connecticut 06856 CANATA MICHA CATACE O. MEKNIMI DARE ACCESS

Via Fascimile and Letter

Novmeber 8, 2004

Commissioner Cristine Vogel Office of Health Care Access 410 Capitol Avenue, MS 13HCA Post Office Box 340308 Hartford, Connecticut 06134

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Renovation and Expansion Of Sleep Center Program

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Thank you for your consideration.

Sincerely,

Geoffrey F. Cole

President and Chief Executive Officer

cc:

Paul Nurick Susan Santoro

Frank Murphy, Esq.



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### SECTION I. APPLICANT INFORMATION

If there are more than two Applicants, please attach a separate sheet of paper and provide additional information in the format below.

	Applicant One	Applicant Two
Full legal name	Norwalk Hospital	
Doing Business As		
Name of Parent Corporation	Norwalk Health Services	
Mailing Address, if Post Office Box, include a street mailing address for Certified Mail	Maple Street Norwalk, Connecticut 06856	
Applicant type (e.g., profit/non-profit)	Not-for-Profit	
Contact person, including title or position	Susan Santoro Director, Program and Business Development	
Contact person's street mailing address	Maple Street Norwalk, Connecticut 06856	
Contact person's phone #, fax # and e-mail address	203.852.2025 (Telephone) 203.899.5063 (Fax) susan.santoro@norwalkhealth.org	

# SECTION II. GENERAL APPLICATION INFORMATION

a.	Proposal/Project Title:			
	Renovation And Expansion C	Of Sleep Center Program		
b.	Type of Proposal, please check	all that apply:		
	Change in Facility (F), Service	(S) or Function (Fnc) pursuan	at to Section 19a-638, C.G.S.:	
	☐ New (F, S, Fnc)	Replacement	Additional (F, S, Fnc)	
	Expansion (F, S, Fnc)	Relocation	Service Termination	
	☐ Bed Addition`	Bed Reduction	☐ Change in Ownership/Control	
$\boxtimes$	Capital Expenditure/Cost, pur	suant to Section 19a-639, C.G	S.S.:	
	Project expenditure/co	ost cost greater than \$ 1,000,0	00	
	Equipment Acquisition	n greater than \$ 400,000		
	New	Replacem	nent Major Medical	
	Imaging	Linear Accelerator	r	
	Change in ownership or contro \$1,000,000	ol, pursuant to Section 19a-639	9 C.G.S., resulting in a capital expenditure ov	er
c.	Location of proposal (Town in	cluding street address):		
	34 Maple Street, Norwalk, Con	necticut 06856		

d. List all the municipalities this project is intended to serve:

Norwalk Hospital Primary Service Area includes City of Norwalk, New Canaan, Westport, Wilton and Weston as well as surrounding towns.

- e. Estimated starting date for the project: <u>January 2005</u>
- f. Type of project: 31 (Fill in the appropriate number(s) from page 7 of this form)

Number of Beds (to be completed if changes are proposed)

	· · · · · · · · · · · · · · · · · · ·		See me proposed	/
Туре	Existing Staffed	Existing Licensed	Proposed Increase (Decrease)	Proposed Total Licensed
	GARAGO CONTRACTOR CONT			

# SECTION III. ESTIMATED CAPITAL EXPENDITURE INFORMATION

- a. Estimated Total Capital Expenditure: \$2,000,000
- b. Please provide the following breakdown as appropriate:

Construction/Renovations	\$	1,696,600	
Medical Equipment (Purchase)	\$	215,000	MATERIAL
Imaging Equipment (Purchase)	00000	——————————————————————————————————————	MACHINE.
Non-Medical Equipment (Purchase)	\$	88,400	Posterior.
Sales Tax		_	
Delivery & Installation		THE STATE OF THE S	
Total Capital Expenditure	\$	2,000,000	
Fair Market Value of Leased Equipment		-	
Total Capital Cost	\$	2,000,000	SURE SEAL

## Major Medical and/or Imaging equipment acquisition:

Equipment Type	Name	Model	Number of Units	Cost per unit
		ACCOUNT OF THE PERSON OF THE P		
			The state of the s	

Other (specify): \_

Note: Provide a copy of the contract with the vendor for major medical/imaging equipment.

SECTION IV. PROJECT DESCRIPTION

Charitable Contributions

Funded Depreciation

Please attach a separate 8.5" X 11" sheet(s) of paper and provide no more than a 2 page description of the proposed project, highlighting all the important aspects of the proposed project. Please be sure to address the following (if applicable):

CHEFA Financing

Grant Funding

- 1. Currently what types of services are being provided? If applicable, provide a copy of each Department of Public Health license held by the Petitioner.
- 2. What types of services are being proposed and what DPH licensure categories will be sought, if applicable?
- 3. Who is the current population served and who is the target population to be served?
- 4. Identify any unmet need and how this project will fulfill that need.
- 5. Are there any similar existing service providers in the proposed geographic area?
- 6. What is the effect of this project on the health care delivery system in the State of Connecticut?
- 7. Who will be responsible for providing the service?
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## SECTION IV. PROJECT DESCRIPTION (CONTINUED)

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SECTION V. WAIVER OF CON FOR REPLACEMENT EQUIPMENT

I may be eligible for a waiver from the Certificate of Need process because of the following: (Please check all that apply)

This request is for Replacement Equipment.

The original equipment was authorized by the Commission/OHCA in Docket Number:

The cost of the equipment is not to exceed \$2,000,000.

The cost of the replacement equipment does not exceed the original cost increased by 10% per year.

Please complete the attached affidavit for Section V only.

AFFIDAVIT	(NOT APPLICABLE)		
Applicant:			
Project Title:			
I,		.)(Position – CEO or CFO)	
(Name)		(Position – CEO or CFO)	
of		being duly sworn, depose and state that the	
information provided i	in this CON Lett	ter of Intent/Waiver Form (2030) is true and accurate to	
the best of my knowled	dge, and that	complies with the appropriate and (Facility Name)	
applicable criteria as se	t forth in the Sec	ctions 19a-630, 19a-637, 19a-638, 19a-639, 19a-486	
and/or 4-181 of the Co			
Signature		Date	
Subscribed and sworn	to before me on		
Notary Public/Commi	ssioner of Super	or Court	
My commission expires	s:		

## **Project Type Listing**

Please indicate the number or numbers of types of projects that apply to your request on the line provided on the Letter of Intent Form (Section II, page 2).

### Inpatient

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